



DAW

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

NISHIZAWA et al.

Notice of Allowance

Mailed: July 20, 2005

Confirmation No.: 7399

Application No.: 10/661,507

Art Unit: 3651

Filed: September 15, 2003

Examiner: Dillon Jr., J.

For: TRANSFER SYSTEM

Docket No.: 107348-00362

**SECOND REQUEST FOR ACKNOWLEDGEMENT OF
CONSIDERATION OF DISCLOSED INFORMATION**

Mail Stop: Issue Fee
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Date: October 6, 2005

Sir:

An Information Disclosure Statement with Form PTO-1449 was filed in the above-captioned patent application on September 15, 2003. Applicants have not yet received a copy of the Form PTO-1449 initialed by the Examiner to acknowledge the cited disclosed information was considered by the Examiner.

Applicants respectfully submit that copies of the references are not required to be submitted by the Applicants according to 37 C.F.R. §1.98(d). Put simply, Applicants note the instant application is a continuation-in-part of parent application number 09/807,988, which was relied upon for an earlier effective filing date under 35 U.S.C. §120. See Item 4 on page -2- of the Information Disclosure Statement. Moreover, Applicants respectfully note the Information Disclosure Statement in the parent application complies with 37 C.F.R. §1.98(a)-(c). As such, Applicants reiterate they are not required to resubmit the references and that the Examiner is required to consider the references, copies of which are provided in the parent application. For the convenience of the Examiner, Applicants provide herein a clean, courtesy copy of the Forms PTO 1449 attached to the September 15, 2003 Information Disclosure Statement to be initialed by the Examiner after considering all

of the references cited therein. The Examiner is requested to initial and return to the undersigned a copy of the subject Form PTO-1449.

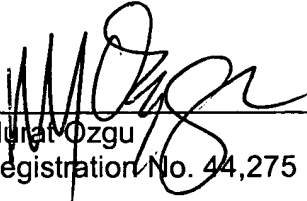
Should there be any questions concerning this communication, please telephone the undersigned attorney at the telephone number set forth below.

Please charge any fee deficiency or credit any overpayment to Deposit Account

No. 01-2300.



Respectfully submitted,



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Registration No. 44,275

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Enclosure: IDS dated September 15, 2003 w/PTO 1449
322693_1.DOC



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

NISHIZAWA et al.

Atty. Dck. No. 107348-00362

Application No.: CIP of 09/807,988

Examiner: J. Bidwell

Filed: September 15, 2003

Art Unit: 3651

For: TRANSFER SYSTEM

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

September 15, 2003

Sir:

Pursuant to 37 CFR §1.56, the attention of the Patent and Trademark Office is hereby directed to the information item(s) listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each item(s) is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the item(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

- ☒ 1. This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date, OR (b) before the mailing date of a first Office Action on the merits in the present application, OR (c) accompanies a Request for Continued Examination. No certification or fee is required.
- ☐ 2. This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance.
- ☐ a. I hereby certify that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(1).
- ☐ b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(2).

- ☐ c. A check in the amount of \$180.00 in payment of the fee under 37 CFR §1.17(p). Please charge any fee deficiency or credit any overpayment to Deposit Account No. 01-2300 as needed to ensure consideration of the disclosed information.
- ☐ 3. This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee. Applicant(s) hereby petition(s) that the Information Disclosure Statement be considered. Attached is our check in the amount of \$180.00 in payment of the petition fee under 37 CFR §1.17(i)(1). Please charge any fee deficiency or credit any overpayment to Deposit Account No. 01-2300 as needed to ensure consideration of the disclosed information.
- ☐ a. I hereby certify that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(1).
- ☐ b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 CFR §1.97(e)(2).
- ☒ 4. The reference(s) was/were cited by or submitted to the Office in parent application No. 09/807,988, filed August 10, 2001, which is relied upon for an earlier filing date under 35 U.S.C. § 120. Thus, copies of these references are not attached. 37 CFR § 1.98(d).

Respectfully submitted,

SIGNATURE ON ORIGINAL

Charles M. Marmelstein
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CMM:ksm



FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.

107348-00362

SERIAL NO.

CIP of 09/807,988

LIST OF REFERENCES CITED BY APPLICANT

(Use several sheets if necessary)

APPLICANT

NISHIZAWA et al.

FILING DATE

September 15, 2003

GROUP

3651

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NO.	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
	AA	5,012,917	5/1991	GILBERT et al.	198	465.2	
	AB	5,271,490	12/1993	STICHT	198	465.2	
	AC						
	AD						
	AE						
	AF						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION		
							YES	NO	PART.
	AG	JP -A-61-81320	04/24/86	Japan				X	
	AH	JP-A-4-306162	10/28/92	Japan				X	
	AI	JP-A-10-217047	08/18/98	Japan				X	
	AJ	JP-A-10-166230	06/23/98	Japan				X	
	AK	JP-A-8-215944	08/27/96	Japan				X	
	AL	JP-B2-4-57555	09/11/92	Japan				X	

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

	AM	
	AN	
	AO	

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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	AA						
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	AC						
	AD						
	AE						
	AF						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION		
							YES	NO	PART.
	AG	58-71049	4/1983	JAPAN					
	AH	1351749	11/1987	SOVIET UNION					
	AI								
	AJ								
	AK								
	AL								

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

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